

H-4

Sector 8  
HCB

Applicant(s): THE UNITED STATES PATENT AND TRADEMARK OFFICE  
Group Art Unit: 2176  
Examiner: unknown  
Serial No.: 09/728,827  
Our Ref.: B-4050CONTPCT  
618384-8  
Filed: November 28, 2000  
Date of this paper:  
May 22, 2001  
For: "OPERATION OF TRUSTED STATE IN  
COMPUTING PLATFORM"  
Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231  
Attn: Customer Service Center  
Initial Patent Examination Division

Sir:

COMPLETION OF FILING REQUIREMENTS

Responsive to office letter dated 04/02/2001 (copy attached).

DECLARATION OR OATH

[X] Please find enclosed the original Declaration/Power signed by the inventors.

AMENDMENT OF CLAIMS

- [ ] Please cancel claims \_\_\_\_\_ inclusive  
[ ] Please find enclosed an amendment deleting multiple dependencies

NOTE: The filing fees, fees for and surcharge fees listed below are reduced by 50% where proof of a small entity status is established on or before the date the fee is paid. If the full fee is paid but a verified statement is filed within two months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

	<u>FEES</u>	
[X] Filing fee (37 CFR 1.16(a) - 710.00)		\$710.00
	(small entity - 355.00)	
[X] Fees for claims		
[X] 1 Independent claim in excess of 3**		
	(37 CFR 1.16(b) - 80.00)	\$ 80.00
	(small entity - 40.00)	
[X] 2 Claims in excess of 20		
	(37 CFR 1.16(c) - 18.00)	\$ 36.00
	(small entity - 9.00)	

\*\* Applicant counts 1 Independent Claim in excess of 3 in U.S. Application No. 09/728,827 as originally filed, and not 2 Independent Claims in excess of 3, as listed in the "Notice to File Missing Parts of Nonprovisional Application".

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

Attn: Customer Service Center  
Initial Patent Examination Division

Date: May 22, 2001

Karyn Lao  
(Typed or printed name of person mailing paper)

[Signature]  
(Signature of person mailing paper)

☐ Multiple dependent claim(s)  
(37 CFR 1.16(d) - 260.00)  
(small entity - 130.00)

☒ Surcharge fees

☒ Late payment of filing fee  
(37 CFR 1.16(e) - 130.00)  
(small entity - 65.00)

AND

☒ Late filing of declaration or oath  
(37 CFR 1.16(e) - 130.00) \$130.00  
(small entity - 65.00)

☐ Petition fee for filing by person not  
the inventor (37 CFR 1.17(h) \$120.00)

☐ Recording assignment  
(37 CFR 1.21(h)(i) 40.00)

☐ Attached is an assignment to

Total fees: \$956.00

**SMALL ENTITY STATUS**

☐ A verified statement that this filing is by a small entity is

☐ Attached  
W/cover sheet

☐ Already filed on

**PAYMENT OF FEES**

☒ Enclosed is a check in the amount of \$956.00

☐ Charge Account No. 12-0415 in the amount of  
A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose  
the fees are paid. 37 CFR 1.22(b).

**AUTHORIZATION TO CHARGE ADDITIONAL FEES**

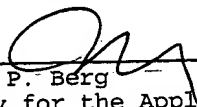
☒ The Commissioner is hereby authorized to charge the following  
additional fees which may be required or credit any overpayment to  
Account No. 12-0415.

☒ 37 CFR 1.16 (filing fees and presentation of extra claims)

☒ 37 CFR 1.17 (application processing fees)

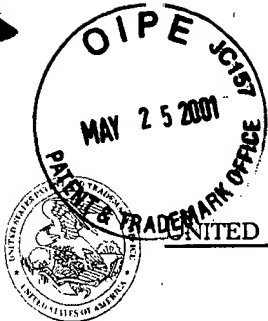
☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of  
Allowance, pursuant to 37 CFR 1.311(b).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting in  
loss of entitlement to small entity status must be filed in the  
application... prior to paying... issue fee."

  
Richard P. Berg  
Attorney for the Applicant  
Registration No.: 28,145

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/728,827	11/28/2000	Graeme John Proudler	B-4050CONTPCT 618384-8

CONFIRMATION NO. 3195

## FORMALITIES LETTER



\*OC000000005922961\*

LADAS & PARRY  
5670 Wilshire Boulevard, Suite 2100  
Los Angeles, CA 90036-5679

Date Mailed: 04/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/728,827

710.00 OP	710.00 OP
130.00 OP	130.00 OP
36.00 OP	36.00 OP
80.00 OP	80.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$196.
  - \$36 for 2 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1036.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE